

ISSN: 2230-9926

RESEARCH ARTICLE

Available online at http://www.journalijdr.com



International Journal of Development Research Vol. 11, Issue, 08, pp. 49438-49443, August, 2021 https://doi.org/10.37118/ijdr.22567.08.2021



OPEN ACCESS

RISK OF SARCOPENIA AND ASSOCIATED FACTORS IN INSTITUTIONALIZED ELDERLY

Matheus Santos Gomes Jorge*, Marlene Doring, Marilene Rodrigues Portella, Ana Carolina Bertoletti De Marchi and Lia Mara Wibelinger

Faculty of Physical Education and Physiotherapy, University of Passo Fundo, Passo Fundo, Rio Grande do Sul, Brazil

ARTICLE INFO

Kev Words:

Article History: Received 14th May, 2021 Received in revised form 20th June, 2021 Accepted 26th July, 2021 Published online 29th August, 2021

Sarcopenia, Stroke, Parkinson's Disease, Dysphagia, Frailty, Homes for the Aged.

*Corresponding author: Veridiana Camilotti

ABSTRACT

Introduction: The elderly is the group with the highest risk of sarcopenia, a condition defined by loss of muscle mass accompanied by muscular strength and/or function, especially those who live in long-term care facilities. Objective: To verify the risk of sarcopenia in institutionalized elderly. *Objective:* To verify the risk of sarcopenia in institutionalized elderly. *Objective:* To verify the risk of sarcopenia in institutionalized elderly. *Materials and methods:* A cross-sectional population-based study that evaluated 479 institutionalized elderly people regarding risk of sarcopenia (SARC-CalF Questionnaire), socioeconomic variables, comorbidities, anthropometric variables, cognitive status (Mini Mental State Examination), nutritional status (Mini Nutritional Assessment), fragility (Fried Phenotype) and functional capacity (Katz Scale). In the statistical analysis were used descriptive statistics, association tests and the crude and adjusted analysis by the Poisson Regression with robust variance. The level of significance was 5%. *Results:* The sample consisted of 369 elderly, 79.75 ± 9.52 years, 69.1% women. Two hundred and eighty-two elderly presented risk of sarcopenia (76.4%). Factors associated with the risk of sarcopenia were stroke, Parkinson's disease, dysphagia and frailty (p≤0.05). *Conclusion:* The risk of sarcopenia is high in institutionalized elderly, and stroke, Parkinson's Disease, dysphagia and frailty are predisposing factors.

Copyright © 2021, Matheus Santos Gomes Jorge et al. This is an open access article distributed under the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

Citation: Matheus Santos Gomes Jorge, Marlene Doring, Marilene Rodrigues Portella, Ana Carolina Bertoletti De Marchi et al. "Risk of sarcopenia and associated factors in institutionalized elderly", International Journal of Development Research, 11, (07), 49438-49443.

INTRODUCTION

Sarcopenia is a muscle disease (muscle insufficiency) characterized by low muscle quantity and/or quality associated with muscle weakness, resulting from complications accumulated throughout life and more commonly affecting the elderly (Cruz-Jentoft et al., 2019). Recognized in 2016 as an independent condition by the Tenth Revision of the International Classification of Disease (ICD-10-CM), with code M62.84 (Cao & Morley, 2016), sarcopenia is present in all contexts, as in the community, in hospitals or long-term care facilities for the elderly (Bahat et al., 2018). Its prevalence is higher in the institutionalized population, and may exceed 70% in the Brazilian population (Mesquita et al., 2017). Several negative health outcomes are associated with sarcopenia in the elderly, such as worsening quality of life, hospital admissions, falls and fractures, functional disability, mortality and even institutionalization itself (Bahat et al., 2018). In addition, sarcopenia has a significant economic impact on health services (Mijnarends et al., 2016).

Various procedures diagnose sarcopenia. The most used method was proposed by the EWGSOP, which assesses muscle strength (usually through manual dynamometry), muscle quality (usually through dualemission X-ray densitometry or bioimpedance) and physical function (usually by gait speed test) (Cruz-Jentoft et al., 2019). However, only elderly people with good physical and cognitive condition are able to perform it, limiting its applicability to a large portion of institutionalized elderly people who are restricted to wheelchairs or beds (Oliveira Neto, Agrícola, Andrade, Oliveira, & Lima, 2017). Sarcopenia is poorly recognized by the public and underdiagnosed in clinical practice. In view of this, in 2013, a simple, easy and useful screening tool was created to track it in clinical practice: the SARC-F, validated in different populations. Despite this, its main deficiency is low sensitivity, giving it a high risk of misdiagnosis in individuals who may actually have a certain condition (Yang, Hu, et al., 2018). Recently, a Brazilian research group improved the SARC-F questionnaire, adding the measurement of the circumference of the calf, renamed SARC-CalF. This significantly improved diagnostic accuracy, especially sensitivity (increasing from 33.3% to 66.7%) (Barbosa-Silva, Menezes, Bielemann, Malmstrom, & Gonzalez, 2016). To date, studies have validated the SARC-CalF questionnaire in different populations and contexts, however, possible predictors for the risk of sarcopenia have not been evaluated, especially in the context of long-term care facilities for the elderly. In this sense, the aim of this study was to verify the risk of sarcopenia in institutionalized elderly and associated factors.

MATERIALS AND METHODS

Design and Ethical Aspects: A multicenter and cross-sectional study was conducted with 479 elderly living at 18 long-term care facilities in three cities located in Rio Grande do Sul State, South Brazil (Passo Fundo, Carazinho and Bento Gonçalves). This study was approved by Ethics and Research Committee of the University of Passo Fundo, unbder protocol 2.097.278, and it is in accordance with Declarations of Helsinki and Brazilian National Health Council. Participants signed the Free and Informed Consent Term with previous explanation and clarification of doubts.

Sample Calculation: According to the international literature, the risk of sarcopenia in institutionalized elderly can range between 36.2% (Urzi, Šimunič, & Buzan, 2017) and 47.3% (Yang, Lu, Jiang, Zeng, & Tang, 2018). To stipulate the minimum reliable sample value, we considered the sample calculation using the mathematical formula "n= $[Z^2.p.(1-p)]/e^{2"}$, which was used in a previous study (Jorge *et al.*, 2020). In the formula, the letter "n" corresponds to the desired sample size, the letter "Z" to the deviation from the average acceptable value to reach the desired level of confidence (the most commonly used value for this type of calculation adopted: 1.96), the letter "p" to the expected proportion (adopting the minimum stipulated value of the prevalence of sarcopenia in this population according to the literature: 36.2%) and the letter "e" corresponds to the accepted margin of error (adopting the most commonly used value for this type of calculation: 0.05). Thus, the sample size needed to meet the objective of this study would be approximately 355 institutionalized elderly.

Selection Criteria: We included individuals aged 60 years or over, with physical capacity to perform the proposed tests and who resided full-time at the long-term institutions. We excluded individuals who were unable to answer the SARC-CalF Questionnaire (elderly people with dysarthria, mental confusion, comatose state), hospitalized on the day of the interview or who were in acute periods of chronic-degenerative or infectious diseases. Therefore, we excluded 110 initially recruited elderly (loss of 23.79%).

Data Collect: Data were collected in a single assessment at each longterm care facilities by undergraduate and graduate students from the research group "Padrões de envelhecimento e longevidade: aspectos biológicos educacionais e psicossociais", linked to the Postgraduate Program in Human Aging at the University of Passo Fundo, under the supervision of professors specialized in human aging. The primary outcome of this study was the risk of sarcopenia, assessed using the SARC-CalF Questionnaire, in its Brazilian version. This instrument, developed by a Brazilian research group, is an improvement on the SARC-F Questionnaire and includes six components: strength (lifting a 2.5 kg weight with your arms), walking (walking around a room or in your bedroom), getting up from a chair (performing the action), climbing stairs (climbing a flight of 10 steps), accident by falls (occurrence of the event in the last year) and muscle mass (calf circumference). The first four components are assigned scores of 0 (no difficulty), 1 (some difficulty) and 2 (very difficult or unable to perform the task) points. The fifth component is assigned a score of 0 (no fall), 1 (1-3 falls in the last year) and 2 (4 or more falls in the last year) points. Finally, the sixth and last component is assigned a score of 0 (calf circumference > 33 cm for women and > 34 cm for men) and 10 (calf circumference ≤ 33 cm for women and \leq 34 cm for men) points. The final scores on the questionnaire ranged from 0 (lower risk of sarcopenia) to 20 (higher risk of sarcopenia), with the individual scoring for risk of sarcopenia when reaching 10 or more points.

SARC-CalF has a sensitivity of 66.7% (38.4% - 88.2%) and specificity of 82.9% (76.3% - 88.4%), values improved compared to SARC-F which has a sensitivity of 33.3% (11.8% - 61.6%) and specificity of 84.2% (77.6% - 89.4%) (Barbosa-Silva et al., 2016). The secondary outcomes analyzed were sociodemographic variables (age and sex), anthropometric variables (body weight, height, body mass index and calf circumference), comorbidities (chronic diseases and health problems), cognitive status, nutritional status, frailty and capacity functional. Sociodemographic variables and comorbidities were collected through the medical records of the elderly. In the case of comorbidities, we consider the presence of some conditions identified in the literature as prevalent in Brazilian elderly (Costa Filho, Mambrini, Malta, Lima-Costa, & Peixoto, 2018; Malta et al., 2016). The anthropometric variables we measured were body weight (using a digital scale and recorded in kilograms - kg) and height (using a measuring tape and recorded in meters -m – and centimeters - cm). After obtaining these variables, we calculated the body mass index (BMI) by dividing the body weight value by the height value raised to the second power. For elderly people unable to measure weight and height, these measures were estimated using the Chumlea Equation (Chumlea, Roche, & Steinbaugh, 1985). All anthropometric measurements followed the protocols established by the International Society for the Advancement of Kinanthropometry (Stewart, Marfell-Jones, Olds, & Ridder, 2011). The circumference of the calf was measured by means of perimetry in the place of greater muscular volume with a measuring tape (Chumlea et al., 1985). However, their values were used in the analysis of the primary outcome (risk of sarcopenia) and not as a secondary outcome.

We evaluated measures of muscle condition considering the three variables used to diagnose sarcopenia according to the European Working Group on Sarcopenia in Older People (EWGSOP): muscle mass, strength and function. We assessed muscle mass using the Lee Equation (Lee et al., 2000), which is in strong agreement with the Dual Energy X-Ray Absorciometry (DEXA), considered the gold standard, and validated in the Brazilian population (Rech, Dellagrana, Marucci, & Petroski, 2012), with cutoff points of <8.76kg/m2 for men and <6.47kg/m2 for women (Viana et al., 2018). We assessed muscle strength through manual dynamometry, with a Kratos® brand dynamometer (Jorge et al., 2019), following the recommendations of the American Society of Hand Therapists (MacDermid, Solomon, & Valdes, 2015) and adopted as cutoff points the EWGSOP reference values (27 kg for men and 16 kg for women) (Cruz-Jentoft et al., 2019). We evaluated muscle function through the 4-meter walk test (Perry, 2005), considering the result of dividing the distance by the elapsed time, recording it in meters per second (m/s) and adopting the reference values as cutoff points of the EWGSOP (<0.8m/s for both sexes) (Cruz-Jentoft et al., 2019). We analyzed the cognitive state through the Mini Mental State Examination (MMSE). This instrument consists of 30 questions grouped into seven categories (Folstein, Folstein, & McHugh, 1975) and with cutoff points adjusted according to education (Bertolucci, Brucki, Campacci, & Juliano, 1994). We assessed the nutritional status through the Mini Nutritional Assessment (MAN), in the short version. This scale consists of six questions that address decreased food intake, weight loss (last three months), mobility, psychological stress or acute illness (last three months), neuropsychological problems and body mass index, with scores ranging from 0 to 14 points and classifying the elderly as malnourished (0 to 7 points), at risk of malnutrition (8 to 11 points) or with normal nutritional status (12 to 14 points) (Rubenstein, Harker, Salvà, Guigoz, & Vellas, 2001). We verified frailty through Fried's Phenotype, where we used four of the five proposed criteria, which are: unintentional weight loss (self-report), fatigue (questions 07 and 20 of the Center for Epidemiological Studies Depression Scale, translated and adapted to Brazilian culture), the reduction in handgrip strength (hand dynamometry, adjusted for gender and BMI) and the reduction in gait speed (4 m gait speed test execution time, adjusted for gender and height). The elderly were classified as frail (three or four positive criteria), pre-frail (one or two positive criteria) or nonfrail (no positive criteria) (Fried et al., 2001). Due to the context in which the elderly lived, we did not assess physical activity, as this is measured using the International Physical Activity Questionnaire and involves various activities such as work, transport, domestic activities, leisure, among others (Craig *et al.*, 2003) that do not apply to a significant portion of this population. We assessed functional capacity using the Katz scale. This scale is composed of six items (bath, clothing, going to the bathroom, transfer, sphincter control and feeding), where the individual is classified as "independent" (one point), "dependent receiving assistance" (no point) or " dependent" (no point) (Duarte, Andrade, & Lebrão, 2007; Katz, Ford, Moskowitz, Jackson, & Jaffe, 1963), with final scores defined by the Hartford Institute for Geriatric Nursing, which classifies the elderly with total independence (six points), moderate dependence (three, four or five points) and severe dependence (less than three points) (Wallace & Shelkey, 2007).

Statistical Analysis: After data collection, we performed the statistical analysis using a software. Participants' characteristics were analyzed using descriptive statistics and presented as mean and standard deviation for continuous variables, and counts and percentages for categorical variables. The characteristics of participants at risk of sarcopenia and without risk of sarcopenia were compared according to the t test of independent samples (normal numerical variables), the Mann-Whitney test (abnormal numerical variables) and the chi-square test (categorical variables), considering as statistical differences values with p≤0.05. Associated factors were determined by using Poisson Regression with robust variance, with the status risk of sarcopenia as the outcome. Initially, we identified the sarcopenia risk predictors through a crude analysis, considering as significant factors with $p \le 0.200$, which were later included in an adjusted model to determine the best combination of sarcopenia risk predictors. The significance level adopted in the final set was $p \le 0.05$.

RESULTS

Sample and Risk of Sarcopenia

The sample consisted of 369 institutionalized elderly, with a mean age of 79.75 ± 9.52 years, and 69.1% were female. According to the SARC-CalF Questionnaire, 282 elderly people (76.4%) were classified as at risk for sarcopenia. Compared to their peers, the elderly at risk for sarcopenia had lower values of anthropometric variables and a higher prevalence of stroke, dysphagia, risk of malnutrition or malnutrition, frailty and moderate or severe functional dependence (Table 1). We analyzed the independent variables in the Poisson Regression model with robust variation in relation to the risk of sarcopenia. Initially, we identified eight variables (BMI, muscle mass, stroke, rheumatism, Parkinson's disease, dysphagia, frailty and functional capacity) as possible associations. After adjustments, stroke (PR: 1.254; 95%CI: 1.018 - 1.544; p=0.033), Parkinson's disease (PR: 1.423; 95%CI: 1.145 - 1.767; p= 0.001), dysphagia (PR: 1.252; 95%CI: 1.039 - 1.508; p=0.018) and frailty (PR: 1.415; 95%CI: 1.157 - 1.730; p=0.001) (Table 2).

DISCUSSION

We identified that institutionalized elderly people have a high risk of sarcopenia, which reached almost 80% of the sample, according to the SARC-CalF. This result is much higher than that found in the literature, where in the context of the community it reached 12.9% (Bahat et al., 2018) and in the context of long-term care facilities, it permeated between 36.2%(Urzi et al., 2017) and 47.3% (Yang, Lu, et al., 2018). In addition, the elderly at risk for sarcopenia had lower anthropometric values in all analyzed variables, and a higher prevalence of stroke, dysphagia, malnutrition, frailty and functional dependence. However, in the final statistical model, only stroke, Parkinson's disease, dysphagia and frailty were factors associated with the outcome. The SARC-F is a brief and inexpensive test, consisting of five criteria, for screening sarcopenia that can be used in clinical practice (Malmstrom & Morley, 2013). Although it has been used in previous studies for this purpose, most in the elderly in the community (Kim, Kim, & Won, 2018; Malmstrom, Miller, Simonsick, Ferrucci, & Morley, 2016; Rolland et al., 2017; Yang et al., 2019), there is one consensus on its limitations, especially

regarding its sensitivity (Woo, Leung, & Morley, 2014). As an alternative, the SARC-CalF was developed, adding a sixth criterion to the SARC-F (calf circumference), which increased the sensitivity compared to the original. In addition to its validation in Brazil (Barbosa-Silva et al., 2016) and in different populations and contexts (Bahat et al., 2018; Yang, Hu, et al., 2018; Yang, Lu, et al., 2018), the SARC-CalF was also validated in the long-term term care facilities (Urzi et al., 2017; Yang, Lu, et al., 2018), which is why we chose this tool to assess the risk of sarcopenia in institutionalized elderly. Conceptually, stroke is a clinical condition with an abrupt onset of neurological symptoms lasting more than 24 hours (or fatal), resulting from an acute vascular lesion in part of the brain, of ischemic (inadequate blood supply) or hemorrhagic (rupture) origin of a blood vessel). The signs and symptoms are multiple and include sudden unilateral weakness, numbness, visual loss, diplopia, ataxia, vertigo, headache, dysarthria, dysphagia, among others (Hankey & Blacker, 2015). Due to the motor changes caused by stroke, these individuals can have consequences on the musculoskeletal system, as is the case of sarcopenia, present between 14% and 18% of this population, a prevalence that is higher in relation to individuals without the disease (Ryan, Ivey, Serra, Hartstein, & Hafer-Macko, 2017). This information justifies the association between stroke and the risk of sarcopenia in this study.

Parkinson's disease is the second most common neurodegenerative disease, being more prevalent in elderly males over 65 years old (Pringsheim, Jette, Frolkis, & Steeves, 2014). Approximately 30% of these individuals are admitted to long-term care facilities, mainly due to advanced age, functional impairment and neuropsychiatric symptoms (Aarsland, Larsen, Tandberg, & Laake, 2000). The clinical picture includes parkinsonism (bradykinesia accompanied by tremor at rest and/or rigidity), postural instability, balance deficit, activity limitation (Postuma et al., 2015), among others. Motor and non-motor symptoms may be involved in the loss of muscle mass in this population, as up to 55.8% of elderly people with Parkinson's disease develop sarcopenia (Peball et al., 2018). Such evidence supports our finding on the association between the risk of sarcopenia and Parkinson's disease in institutionalized elderly. Another factor associated with the risk of sarcopenia in our sample was dysphagia. Elderly people with dysphagia have lower mass and strength of the muscles responsible for swallowing (Feng et al., 2013), suggesting that there may be a relationship between the decrease in skeletal muscle mass and dysphagia, a condition called sarcopenic dysphagia (Maeda, Takaki, & Akagi, 2017). Thus, our findings regarding the association between the risk of sarcopenia and dysphagia are supported by studies carried out in different geriatric populations where they found similar results (Maeda & Akagi, 2016; Maeda et al., 2017; Wakabayashi, Takahashi, Watanabe, Oritsu, & Shimizu, 2017). However, this association is not fully clarified, encouraging indepth research (Maeda & Akagi, 2016). Sarcopenia is considered the main factor in the development of frailty, but determining the causal relationship between the two is controversial and is currently the focus of several studies (Buckinx et al., 2017). In the community, frail elderly people have a higher prevalence of sarcopenia (Beaudart et al., 2015). A study carried out with institutionalized elderly people showed that the prevalence of sarcopenia was 38.1%, and the group of elderly people with frailty had a prevalence of almost 50%, demonstrating a significant association (Buckinx et al., 2017). In our study, frailty was one of the factors associated with the risk of sarcopenia in institutionalized elderly. Our study is not free from limitations. We had a sample loss of 23.79%, but we kept the absolute sample number that was expected to meet the main objective of our research. In addition, our sample is representative compared to other studies that investigated the risk of sarcopenia in institutionalized elderly (Urzi et al., 2017; Yang, Lu, et al., 2018). Another possible limitation would be the original questionnaire that is used to assess the risk of sarcopenia, the SARC-F, which has excellent specificity but poor sensitivity. Thus, we used the SARC-CalF questionnaire, which includes measuring the circumference of the calf, which significantly improved its sensitivity. Despite being recently validated in the Brazilian population and in other nationalities, this instrument is simple, easy to apply and has been explored in different contexts.

	Total (n=369)	No Risk of Sarcopenia (n=87)	Risk of Sarcopenia (n=282)	p-valor
Sociodemographic variables				
Age (years) †	$79,65 \pm 9,53$	$78,80 \pm 9,39$	$79,91 \pm 9,57$	0,346
Gender			, ,	0,353
Female	255 (69,1%)	64 (25,1%)	191 (74,9%)	-
Male	114 (30,9%)	23 (20,2%)	91 (79.8%)	
Anthropometric variables				
Body Weight (kg) †	$60,54 \pm 15,39$	$67,45 \pm 12,67$	$58,29 \pm 15,54$	0,000
Height (m) †	$1,54 \pm 0,12$	$1,57 \pm 0,10$	$1,53 \pm 0,12$	0,009
Body mass index (kg/m ²) †	$25,09 \pm 5,37$	27.09 ± 4.52	24.44 ± 5.47	0,000
Calf circumference (cm) †	$32,53 \pm 4,58$	$36,91 \pm 3,21$	$31,50 \pm 4,24$	0,000
AMM (kg/m ²) †	7.27 ± 1.88	7.73 ± 1.51	7.12 ± 1.96	0.008
Handgrip strength (kg) ‡	10.20 ± 8.29	13.19 ± 7.30	9.22 ± 8.37	0.000
Gait speed (m/s) ‡	0.27 ± 0.20	0.35 ± 0.20	0.24 ± 0.19	0.000
Comorbidities	.,, .		- , - , -	
Cardiovascular disease	63 (17.2%)	13 (20.6%)	50 (79.4%)	0.626
Systemic arterial hypertension	209 (57.1%)	49 (23,4%)	160 (76.6%)	0.902
Stroke	70 (19.0%)	08 (11.4%)	62 (88 6%)	0.007
Diabetes mellitus	80 (21.7%)	16 (20.0%)	64 (80.0%)	0.458
Cancer	21 (5.7%)	03 (14.3%)	18 (85.7%)	0.429
Rheumatism	52 (14.1%)	08 (15.4%)	44 (84.6%)	0.159
Pulmonary disease	31 (8.4%)	07 (22.6%)	24 (77 4%)	1.000
Depression	132 (36.1%)	36 (27.3%)	96 (72.7%)	0.251
Osteoporosis	41 (11.1%)	10 (24.4%)	31 (75.6%)	0.846
Dementia	164 (44 4%)	35 (21.3%)	129 (78,7%)	0.390
Parkinson's disease	34 (9.2%)	04 (11.8%)	30 (88.2%)	0.094
Chronic pain	135 (37.8%)	30 (22.2%)	105 (77.8%)	0.610
Dysphagia	98 (26.9%)	08 (8.2%)	90 (91.8%)	0.000
Polypharmacy	279 (76.9%)	65 (23.3%)	214 (76.7%)	1.000
Comprehensive Geriatric Assessment				-,
Cognitive state (MMSE)				0.088
Without cognitive decline	119 (32.2%)	35 (29.4%)	84 (70.6%)	.,
With cognitive decline	250 (67.8%)	52 (20.8%)	198 (79.2%)	
Nutritional status (MNA) ^a				0.000
Normal nutritional status	104 (29.6%)	35 (33.7%)	69 (66.3%)	
Risk of malnutrition	173 (49.3%)	43 (24.9%)	130 (75.1%)	
Malnutrition	74 (21.1%)	06 (8.1%)	68 (91.9%)	
Fragility (Fried Phenotype) ^a	, , (=-,-, , , , ,)	•• (•,•••)		0.001
Non-frail or pre-frail	108 (57.1%)	46 (42.6%)	62 (57.4%)	-)
Frail	81 (42.9%)	15 (18.5%)	66 (81.5%)	
Functional capacity (Katz Index) ^a				0.000
Independence	63 (17.5%)	28 (44,4%)	35 (55.6%)	-,
Moderate dependence	122 (33.8%)	34 (27.9%)	88 (72.1%)	
Severe dependence	176 (48.8%)	23 (13.1%)	153 (86 9%)	

		1 11 1 1 1 1 1	
I ahle I Characteristi	ice of institutionalize	d elderly in relation	n to risk of sarconenia
	ts of montunonalize	u clucity in relation	i to risk of sal copenia

In bold (p≤0.05); † (independent samples t test); ‡ (Mann-Whitney test); CP (calf circumference); BMI (body mass index); MMA (appendicular musculoskeletal mass); kg (kilogram); m (meter); kg/m² (kilogram per square meter); m/s (meter per second); MMSE (Mini Mental State Examination); MAN (Mini Nutritional Assessment); a (only valid values accounted for)

Table 2. Poisson regression model gross and adjusted associated factors with risk of sarcopenia in the institutionalized elderly

	Gross analysis		Adjusted analysis		
Variables	PR (CI _{95%})	p-value	PR (CI _{95%})	p-value	
60-79 years	1 (ref.)				
80 years or over	1,052(0,872 - 1,269)	0,968			
Male	1 (ref.)	-			
Female	0,879(0,716 - 1,078)	0,216			
Body mass index $>22 \text{ kg/m}^2$	1 (ref.)	-			
Body mass index ≤22 kg/m ²	1,269 (1,033 - 1,559)	0,023			
IMM normal (kg/m ²)	1 (ref.)				
IMM diminuída (kg/m ²) ^a	1,234 (1,009 - 1,509)	0,041			
FPM normal (kg)	1 (ref.)				
FPM diminuída (kg) ^b	0,974 (0,640 - 1,481)	0,901			
$VM \ge 0.8 \text{ m/s}$	1 (ref.)				
VM < 0.8 m/s	1,728 (0,588 - 5,082)	0,320			
Cardiovascular disease	1,085(0,836 - 1,407)	0,540			
Systemic arterial hypertension	1,041 (0,842 - 1,287)	0,712			
Stroke	1,283 (1,041 - 1,580)	0,019	1,254 (1,018 - 1,544)	0,033	
Diabetes mellitus	1,154 (0,917 - 1,453)	0,223			
Cancer	1,107 (0,785 - 1,562)	0,562			
Rheumatism	1,174 (0,919 - 1,499)	0,198			
Pulmonary disease	1,110 (0,819 - 1,504)	0,500			
Depression	0,871 (0,698 - 1,087)	0,220			
Osteoporosis	0,923 (0,661 - 1,289)	0,637			
Dementia	1,018 (0,819 - 1,266)	0,871			
Parkinson's disease	1,351 (1,106 - 1,651)	0,003	1,423 (1,145 - 1,767)	0,001	
Chronic pain	1,071 (0,867 - 1,324)	0,523			
Dysphagia	1,374 (1,144 - 1,649)	0,001	1,252 (1,039 - 1,508)	0,018	
Polypharmacy	1,002 (0,792 - 1,267)	0,989			
Without cognitive decline	1 (ref.)				
With cognitive decline	1,121 (0,906 - 1,387)	0,293			
Normal nutritional status	1 (ref.)				
Deficient nutritional status ^b	1,068 (0,864 - 1,319)	0,543			
No frail or pre-frail elderly	1 (ref.)				
Frail elderly	1,441 (1,183 – 1,756)	0,000	1,415 (1,157 - 1,730)	0,001	
Functional independence	1 (ref.)				
Functional dependence ^c	1,338 (1,017 - 1,761)	0,038			

In bold (variables included in the gross and adjusted models); PR (prevalence ratio); 95%CI (95% confidence interval); BMI (Body Mass Index); CP (Circumference of the Call); MMI (muscle mass index); FPM (hand grip force); VM (speed of the march); kg/m2 (kilograms per square meter); m/s (meters per second); > (larger); \leq (less than or equal); a (<6.47kg/m2 for women and <8.76kg/m2 for men); b (<20 kg for women and <30 kg for men); c (risk of malnutrition); d (moderate or severe dependence)

In this way, we seek to contribute to the education of health professionals and managers in long-term care facilities on monitoring the risk of sarcopenia, in order to implement comprehensive and multidisciplinary actions in search of improving their health conditions and quality of life individuals.

Conclusion

These findings highlight the high risk of sarcopenia in the institutionalized elderly population, especially individuals with chronic neurological diseases, swallowing disorders and frailty conditions. Furthermore, this study brings the possibility of using an improved and easily applicable instrument for the screening of sarcopenia in institutionalized elderly, the SARC-CaIF. Knowing that this population is the most susceptible to developing sarcopenia, especially individuals diagnosed with any of the aforementioned health problems, it is necessary to implement screening for the disease in the routine assessment protocols of long-term care facilities. This will allow health agents to more accurately delineate the profile of residents in these environments, in order to develop multi- and interdisciplinary actions to prevent sarcopenia, as well as to promote health and quality of life for institutionalized elderly people.

Acknowledgements

The authors thank the Higher Education Personnel Improvement Coordination Program (CAPES/Brazil) from the scholarship and financial support for this research.

REFERENCES

- Aarsland, D., Larsen, J. P., Tandberg, E., & Laake, K. 2000. Predictors of nursing home placement in Parkinson's disease: a population-based, prospective study. *Journal of the American Geriatrics Society*, 48(8), 938–942.
- Bahat, G., Oren, M. M., Yilmaz, O., Kiliç, C., Aydin, K., & Karan, M. A. 2018. Comparing SARC-F with SARC-CalF to Screen Sarcopenia in Community Living Older Adults. *The Journal of Nutrition, Health & Aging, 229, 1034–1038.* https://doi.org/10.1007/s12603-018-1072-y
- Barbosa-Silva, T. G., Menezes, A. M. B., Bielemann, R. M., Malmstrom, T. K., & Gonzalez, M. C. 2016. Enhancing SARC-F: Improving Sarcopenia Screening in the Clinical Practice. *Journal* of the American Medical Directors Association, 1712, 1136– 1141. https://doi.org/10.1016/j.jamda.2016.08.004
- Beaudart, C., Reginster, J. Y., Petermans, J., Gillain, S., Quabron, A., Locquet, M., ... Bruyère, O. 2015. Quality of life and physical components linked to sarcopenia: The SarcoPhAge study. *Experimental Gerontology*, 691, 103–110. https://doi.org/10.1016/j.exger.2015.05.003
- Bertolucci, P. H. F., Brucki, S. M. D., Campacci, S. R., & Juliano, Y. 1994. O Mini-Exame do Estado Mental em uma população geral: impacto da escolaridade. *Arquivos de Neuro-Psiquiatria*, 521, 01– 07. https://doi.org/10.1590/S0004-282X1994000100001
- Buckinx, F., Reginster, J. Y., Brunois, T., Lenaerts, C., Beaudart, C., Croisier, J. L., ... Bruyère, O. 2017. Prevalence of sarcopenia in a population of nursing home residents according to their frailty status: results of the SENIOR cohort. *Journal of Musculoskeletal* & *Neuronal Interactions*, 173, 209–217.
- Cao, L., & Morley, J. E. 2016. Sarcopenia Is Recognized as an Independent Condition by an International Classification of Disease, Tenth Revision, Clinical Modification ICD-10-CM Code. Journal of the American Medical Directors Association, 178, 675–677. https://doi.org/10.1016/j.jamda.2016.06.001
- Chumlea, W. C., Roche, A. F. R., & Steinbaugh, M. L. 1985. Estimating stature from knee height for persons 60 to 90 years of age. *Journal of the American Geriatrics Society*, 332, 116–120. https://doi.org/10.1111/j.1532-5415.1985.tb02276.x
- Costa Filho, A. M., Mambrini, J. V. de M., Malta, D. C., Lima-Costa, M. F., & Peixoto, S. V. 2018. Contribution of chronic diseases to

the prevalence of disability in basic and instrumental activities of daily living in elderly Brazilians: the National Health Survey 2013. *Cadernos de Saúde Pública*, 341, 1–12. https://doi.org/10.1590/0102-311x00204016

- Craig, C. L., Marshall, A. L., Sjöström, M., Bauman, A. E., Booth, M. L., Ainsworth, B. E., ... Oja, P. 2003. International Physical Activity Questionnaire: 12-Country Reliability and Validity. *Medicine & Science in Sports & Exercise*, 358, 1381–1395. https://doi.org/10.1249/01.MSS.0000078924.61453.FB
- Cruz-Jentoft, A. J., Bahat, G., Bauer, J., Boirie, Y., Bruyère, O., Cederholm, T., ... Schols, J. 2019. Sarcopenia: revised European consensus on definition and diagnosis. *Age and Ageing*, 481, 16– 31. https://doi.org/10.1093/ageing/afy169
- Duarte, Y. A. de O., Andrade, C. L. de, & Lebrão, M. L. 2007. Katz Index on elderly functionality evaluation. *Revista Da Escola de Enfermagem Da USP*, 412, 317–325. https://doi.org/10.1590/S0080-62342007000200021
- Feng, X., Todd, T., Lintzenich, C. R., Ding, J., Carr, J. J., Ge, Y., ... Butler, S. G. 2013. Aging-Related Geniohyoid Muscle Atrophy Is Related to Aspiration Status in Healthy Older Adults. *The Journals of Gerontology Series A: Biological Sciences and Medical Sciences*, 687, 853–860. https://doi.org/10.1093/gerona/gls225
- Folstein, M. F., Folstein, S. E., & McHugh, P. R. 1975. "Mini-mental state". A practical method for grading the cognitive state of patients for the clinician. *Journal of Psychiatric Research*, 123, 189–198.
- Fried, L. P., Tangen, C. M., Walston, J., Newman, A. B., Hirsch, C., Gottdiener, J., ... Cardiovascular Health Study Collaborative Research Group. 2001. Frailty in older adults: evidence for a phenotype. *Journal of Gerontology: MEDICAL SCIENCES*, 563, M146-56.
- Hankey, G. J., & Blacker, D. J. 2015. Is it a stroke? *BMJ*, 3501, 1–6. https://doi.org/10.1136/bmj.h56
- Jorge, M. S. G., Nepomuceno, P., Garcia, G. S., Doring, M., Portella, M. R., De Marchi, A. C. B., & Wibelinger, L. M. 2020. Sarcopenia and associated factors in institutionalized elderly. *International Journal of Development Research*, 1011, 41857– 41863. https://doi.org/10.37118/ijdr.20394.11.2020
- Jorge, M. S. G., Ribeiro, D. dos S., Garbin, K., Moreira, I., Rodigheri, P. V., Lima, W. G. de, ... Libero, G. A. 2019. Values of handgrip strength in a population of different age groups. *Lecturas: Educación Física y Deportes*, 23249, 56–69.
- Katz, S., Ford, A. B., Moskowitz, R. W., Jackson, B. A., & Jaffe, M.
 W. 1963. Studies of Illness in the Aged. The Index of ADL: a standardized measure of biological and psychosocial function. *JAMA*, *185*12, 914–919. https://doi.org/10.1001/jama.1963.03060120024016
- Kim, S., Kim, M., & Won, C. W. 2018. Validation of the Korean Version of the SARC-F Questionnaire to Assess Sarcopenia: Korean Frailty and Aging Cohort Study. *Journal of the American Medical Directors Association*, 191, 40–45. https://doi.org/ 10.1016/j.jamda.2017.07.006
- Lee, R. C., Wang, Z., Heo, M., Ross, R., Janssen, I., & Heymsfield, S. B. 2000. Total-body skeletal muscle mass: development and cross-validation of anthropometric prediction models. *The American Journal of Clinical Nutrition*, 723, 796–803. https://doi.org/10.1093/ajcn/72.3.796
- MacDermid, J., Solomon, G., & Valdes, K. 2015. Clinical Assessment Recommendations. J. MacDermid, G. Solomon, & K. Valdes, Eds. 3rd ed.. Mount Laurel: American Society of Hand Therapists.
- Maeda, K., & Akagi, J. 2016. Sarcopenia is an independent risk factor of dysphagia in hospitalized older people. *Geriatrics & Gerontology International*, 164, 515–521. https://doi.org/ 10.1111/ggi.12486
- Maeda, K., Takaki, M., & Akagi, J. 2017. Decreased Skeletal Muscle Mass and Risk Factors of Sarcopenic Dysphagia: A Prospective Observational Cohort Study. *The Journals of Gerontology Series* A: Biological Sciences and Medical Sciences, 729, 1290–1294. https://doi.org/10.1093/gerona/glw190

- Malmstrom, T. K., Miller, D. K., Simonsick, E. M., Ferrucci, L., & Morley, J. E. 2016. SARC-F: a symptom score to predict persons with sarcopenia at risk for poor functional outcomes. *Journal of Cachexia, Sarcopenia and Muscle*, 71, 28–36. https://doi.org/ 10.1002/jcsm.12048
- Malmstrom, T. K., & Morley, J. E. 2013. SARC-F: A Simple Questionnaire to Rapidly Diagnose Sarcopenia. *Journal of the American Medical Directors Association*, 148, 531–532. https://doi.org/10.1016/j.jamda.2013.05.018
- Malta, D. C., Bernal, R. T. I., de Souza, M. de F. M., Szwarcwald, C. L., Lima, M. G., & Barros, M. B. de A. 2016. Social inequalities in the prevalence of self-reported chronic non-communicable diseases in Brazil: national health survey 2013. *International Journal for Equity in Health*, 151, 153. https://doi.org/10.1186/s12939-016-0427-4
- Mesquita, A. F., Silva, E. C. da, Eickemberg, M., Roriz, A. K. C., Barreto-Medeiros, J. M., & Ramos, L. B. 2017. Factors associated with sarcopenia in institutionalized elderly. *Nutrición Hospitalaria*, 342, 345. https://doi.org/10.20960/nh.427
- Mijnarends, D. M., Schols, J. M. G. A., Halfens, R. J. G., Meijers, J. M. M., Luiking, Y. C., Verlaan, S., & Evers, S. M. A. A. 2016. Burden-of-illness of Dutch community-dwelling older adults with sarcopenia: Health related outcomes and costs. *European Geriatric Medicine*, 73, 276–284. https://doi.org/10.1016/j.eurger.2015.12.011
- Oliveira Neto, L. de, Agrícola, P. M. D., Andrade, F. L. J. P. de, Oliveira, L. P. de, & Lima, K. C. 2017. What is the impact of the European Consensus on the diagnosis and prevalence of sarcopenia among institutionalized elderly persons? *Revista Brasileira de Geriatria e Gerontologia*, 206, 754–761. https://doi.org/10.1590/1981-22562017020.170053
- Peball, M., Mahlknecht, P., Werkmann, M., Marini, K., Murr, F., Herzmann, H., ... Seppi, K. 2018. Prevalence and Associated Factors of Sarcopenia and Frailty in Parkinson's Disease: A Cross-Sectional Study. *Gerontology*, 11, 1–13. https://doi.org/ 10.1159/000492572
- Perry, J. 2005. Análise da Marcha. São Paulo: Manole.
- Postuma, R. B., Berg, D., Stern, M., Poewe, W., Olanow, C. W., Oertel, W., ... Deuschl, G. 2015. MDS clinical diagnostic criteria for Parkinson's disease. *Movement Disorders*, 3012, 1591–1601. https://doi.org/10.1002/mds.26424
- Pringsheim, T., Jette, N., Frolkis, A., & Steeves, T. D. L. 2014. The prevalence of Parkinson's disease: A systematic review and metaanalysis. *Movement Disorders*, 2913, 1583–1590. https://doi.org/10.1002/mds.25945
- Rech, C. R., Dellagrana, R. A., Marucci, M. D. F. N., & Petroski, E. L. 2012. Validity of anthropometric equations for the estimation of muscle mass in the elderly. *Brazilian Journal of Kinanthropometry and Human Performance*, 141, 23–31. https://doi.org/10.5007/1980-0037.2012v14n1p23
- Rolland, Y., Dupuy, C., Abellan Van Kan, G., Cesari, M., Vellas, B., Faruch, M., ... de Souto Barreto, P. 2017. Sarcopenia Screened by the SARC-F Questionnaire and Physical Performances of Elderly Women: A Cross-Sectional Study. *Journal of the American Medical Directors Association*, 1810, 848–852. https://doi.org/10.1016/j.jamda.2017.05.010

- Rubenstein, L. Z., Harker, J. O., Salvà, A., Guigoz, Y., & Vellas, B. 2001. Screening for undernutrition in geriatric practice: developing the short-form mini-nutritional assessment MNA-SF. *The Journals of Gerontology. Series A, Biological Sciences and Medical Sciences*, 566, M366-72.
- Ryan, A. S., Ivey, F. M., Serra, M. C., Hartstein, J., & Hafer-Macko, C. E. 2017. Sarcopenia and Physical Function in Middle-Aged and Older Stroke Survivors. *Archives of Physical Medicine and Rehabilitation*, 983, 495–499. https://doi.org/10.1016/j.apmr. 2016.07.015
- Stewart, A., Marfell-Jones, M., Olds, T., & Ridder, H. De. 2011. International standards for anthropometric assessment 3rd ed.. Lower Hutt, New Zealand: International Society for the Advancement of Kinanthropometry.
- Urzi, F., Šimunič, B., & Buzan, E. 2017. Basis for Sarcopenia Screening With the SARC-CalF in Nursing Homes. *Journal of the American Medical Directors Association*, 1811, 991.e5-991.e10. https://doi.org/10.1016/j.jamda.2017.07.011
- Viana, J. U., Dias, J. M. D., Pereira, L. S. M., Silva, S. L. A. da, Hoelzle, L. F., & Dias, R. C. 2018. Alternative appendicular muscle mass cut-off points for verification of sarcopenia in older Brazilians: data from Rede Fibra – Belo Horizonte/Brazil. *Fisioterapia e Pesquisa*, 252, 166–172. https://doi.org/10.1590/ 1809-2950/17533725022018
- Wakabayashi, H., Takahashi, R., Watanabe, N., Oritsu, H., & Shimizu, Y. 2017. Prevalence of sarcopenia and its association with dysphagia in cancer patients who require rehabilitation. *Journal of Rehabilitation Medicine*, 498, 682–685. https://doi.org/10.2340/16501977-2253
- Wallace, M., & Shelkey, M. 2007. Katz Index of Independence in Activities of Daily Living ADL. Urologic Nursing, 271, 93–94.
- Woo, J., Leung, J., & Morley, J. E. 2014. Validating the SARC-F: A Suitable Community Screening Tool for Sarcopenia? *Journal of the American Medical Directors Association*, 159, 630–634. https://doi.org/10.1016/j.jamda.2014.04.021
- Yang, M., Hu, X., Xie, L., Zhang, L., Zhou, J., Lin, J., ... Li, Y. 2019. Comparing Mini Sarcopenia Risk Assessment With SARC-F for Screening Sarcopenia in Community-Dwelling Older Adults. *Journal of the American Medical Directors Association*, 201, 53– 57. https://doi.org/10.1016/j.jamda.2018.04.012
- Yang, M., Hu, X., Xie, L., Zhang, L., Zhou, J., Lin, J., ... Wu, L. 2018. Screening Sarcopenia in Community-Dwelling Older Adults: SARC-F vs SARC-F Combined With Calf Circumference SARC-CalF. Journal of the American Medical Directors Association, 193, 277.e1-277.e8. https://doi.org/10.1016/ j.jamda.2017.12.016
- Yang, M., Lu, J., Jiang, J., Zeng, Y., & Tang, H. 2018. Comparison of four sarcopenia screening tools in nursing home residents. *Aging Clinical and Experimental Research*, 11, 1–9. https://doi.org/ 10.1007/s40520-018-1083-x
